

To be filled in by the broker-dealer company

Registration number	Activity code	Tax ID number	
Business name:			
Registered office:			

STATEMENT OF SHAREHOLDERS EQUITY OF A BROKER-DEALER COMPANY

For the period from _____ to _____

- in 000 RSD -

No	DESCRIPTION	Capital components											
		AOP	40 Core capital	AOP	41 Subscribed capital unpaid	AOP	42 and 43 Reserves	AOP	46 Loss	AOP	034 and 246 Own shares repurchased	AOP	45 Retained earnings
1	2		3		4		5		6		7		8
	Opening balance of the previous year as at 01. 01. January _____												
1	a) debit balance	4001		4019		4037		4055		4073		4091	
	b) credit balance	4002		4020		4038		4056		4074		4092	
	Correction of material mistakes and changes in accounting policies												
2	a) Corrections in debit balance	4003		4021		4039		4057		4075		4093	
	b) Corrections in credit balance	4004		4022		4040		4058		4076		4094	
	Corrected opening balance of the previous year as at 01. 01. _____												
3	a) corrected debit balance $(1a + 2a - 2b) \geq 0$	4005		4023		4041		4059		4077		4095	
	b) corrected credit balance $(1b - 2a + 2b) \geq 0$	4006		4024		4042		4060		4078		4096	
	Changes in the previous year _____												
4	a) turnover in debit balance	4007		4025		4043		4061		4079		4097	
	b) turnover in credit balance	4008		4026		4044		4062		4080		4098	
	Balance at the end of the previous year 31.12. _____												
5	a) debit balance $(3a + 4a - 4b) \geq 0$	4009		4027		4045		4063		4081		4099	
	b) credit balance $(3b - 4a + 4b) \geq 0$	4010		4028		4046		4064		4082		4100	

No	DESCRIPTION	Capital components											
		AOP	40 Core capital	AOP	41 Subscribed capital unpaid	AOP	42 and 43 Reserves	AOP	46 Loss	AOP	034 and 246 Own shares repurchased	AOP	45 Retained earnings
1	2		3		4		5		6		7		8
	Correction of material mistakes and changes in accounting policies												
6	a) Corrections in debit balance	4011		4029		4047		4065		4083		4101	
	b) Corrections in credit balance	4012		4030		4048		4066		4084		4102	
	Corrected opening balance of the current year as at 01. 01. ____												
7	a) corrected debit balance (5a + 6a - 6b) ≥ 0	4013		4031		4049		4067		4085		4103	
	b) corrected credit balance (5b - 6a + 6b) ≥ 0	4014		4032		4050		4068		4086		4104	
	Changes in the current year ____												
8	a) turnover in debit balance	4015		4033		4051		4069		4087		4105	
	b) turnover in credit balance	4016		4034		4052		4070		4088		4106	
	Balance at the end of the current year 31.12. ____												
9	a) debit balance (7a + 8a - 8b) ≥ 0	4017		4035		4053		4071		4089		4107	
	b) credit balance (7b - 8a + 8b) ≥ 0	4018		4036		4054		4072		4090		4108	

No	DESCRIPTION	Other result components							ADP	Total capital [$\sum(\text{row b col. 3 to col. 12}) - \sum(\text{row a col. 3 to col. 12}) \geq 0$]	ADP	Loss over capital [$\sum(\text{row a col. 3 to col. 12}) - \sum(\text{row b col. 3 to col. 12}) \geq 0$]	
		AOP	440 Revaluation reserves	ADP	441 Reserves for restatement of financial statements in other functional currency	ADP	442, 444 Unrealized profit from securities	ADP					443, 445 Unrealized loss from securities
1	2		9		10		11		12		13		14
	Opening balance of the previous year as at 01. 01. _____												
1	a) debit balance	4109		4127		4145		4163		4181		4190	
	b) credit balance	4110		4128		4146		4164					
	Correction of material mistakes and changes in accounting policies												
2	a) Corrections in debit balance	4111		4129		4147		4165		4182		4191	
	b) Corrections in credit balance	4112		4130		4148		4166					
	Corrected opening balance of the previous year as at 01. 01. _____												
3	a) corrected debit balance (1a + 2a - 2b) ≥ 0	4113		4131		4149		4167		4183		4192	
	b) corrected credit balance (1b - 2a + 2b) ≥ 0	4114		4132		4150		4168					
	Changes in the previous year _____												
4	a) turnover in debit balance	4115		4133		4151		4169		4184		4193	
	b) turnover in credit balance	4116		4134		4152		4170					
	Balance at the end of the previous year 31.12. _____												
5	a) debit balance (3a + 4a - 4b) ≥ 0	4117		4135		4153		4171		4185		4194	
	b) credit balance (3b - 4a + 4b) ≥ 0	4118		4136		4154		4172					

No	DESCRIPTION	Other result components							ADP	Total equity [$\sum(\text{row b col. 3 to col. 12}) - \sum(\text{row a col. 3 to col. 12}) \geq 0$]	ADP	Loss above equity [$\sum(\text{row a col. 3 to col. 12}) - \sum(\text{row b col. 3 to col. 12}) \geq 0$]	
		AOP	440 Revaluation reserves	ADP	441 Reserves for restatement of financial statements in other functional currency	ADP	442, 444 Unrealized profit from securities	ADP					443, 445 Unrealized loss from securities
1	2				10		11		12		13		14
6	Correction of material mistakes and changes in accounting policies												
	a) Corrections in debit balance	4119		4137			4155		4173	4186		4195	
	b) Corrections in credit balance	4120		4138			4156		4174				
7	Corrected opening balance of the current year as at 01. 01. ____												
	a) corrected debit balance (5a + 6a - 6b) ≥ 0	4121		4139			4157		4175	4187		4196	
	b) corrected credit balance (5b - 6a + 6b) ≥ 0	4122		4140			4158		4176				
8	Changes in the current year ____												
	a) turnover in debit balance	4123		4141			4159		4177	4188		4197	
	b) turnover in credit balance	4124		4142			4160		4178				
9	Balance at the end of the current year 31.12. ____												
	a) debit balance (7a + 8a - 8b) ≥ 0	4125		4143			4161		4179	4189		4198	
	b) credit balance (7b - 8a + 8b) ≥ 0	4126		4144			4162		4180				

In _____

_____ (date)

Legal representative
